

9/26/07

Used in Lieu of PTO/SB/08A/B  
(Based on PTO 04-07 version)

Substitute for form 1449/PTO				Complete if Known:	
				Application Number	10/087,308-Conf. #2061
				Filing Date	March 1, 2002
				First Named Inventor	Evren Eryurek
				Art Unit:	2154
				Examiner Name	J. Chang
Sheet	1	of	1	Attorney Docket Number	30203/38232

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/JWC/		US-5,311,562	05-10-1994	Palusamy et al.	

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear
/JWC/		EP-0 965 897-A1	12-22-1999	Metso Automation OY	
/JWC/		JP-06-331507	12-01-1994	Hitachi Limited	Abstract Only
/JWC/		JP-08-261886	10-11-1996	Yokogawa Electric Corporation	Abstract Only
/JWC/		JP-10-039728	02-13-1998	Mitsubishi Electric Corporation	Abstract Only
/JWC/		JP-10-320039	12-04-1998	Mitsubishi Electric Corporation	Abstract Only
/JWC/		JP-11/231924	08-27-1999	Yaskawa Electric Corporation	Abstract Only
/JWC/		JP-2000-003113	01-07-2000	Ricoh KK	Abstract Only
/JWC/		JP-2000-305620	11-02-2000	Fisher Rosemount Systems, Inc.	Abstract Only
/JWC/		JP-2001-016662	01-19-2001	Digital Electronics Corporation	Abstract Only

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>CITE NO.: Those application(s) which are marked with an single asterisk (\*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(ii)) because that application was filed after June 30, 2003 or is available in the IFW. <sup>2</sup>Applicant's unique citation designation number (optional). <sup>3</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>4</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>5</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>6</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>7</sup>Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T <sup>2</sup>
/JWC/		English Translation of Notice of Rejection for Application No. 2002-570030, dated June 19, 2007.			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	/Jungwon Chang/	Date Considered	09/30/2007
--------------------	-----------------	-----------------	------------

For PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Atty. Docket No. 30203/38232	Serial No. 10/087,308
<b>INFORMATION DISCLOSURE STATEMENT</b>		Applicant			
		Evren Eryurek et al.			
		Filing Date March 1, 2002	Group 2184		

U.S. PATENT DOCUMENTS						
*Examiner Initials	Document Number	Issue Date	Name	Class	Subclass	Filing Date if Appropriate
	6,128,279	10/03/00	O'Neil et al.	370	229	
	6,266,726	07/24/01	Nixon et al.	710	105	
	6,298,377	10/02/01	Hartikainen et al.	709	223	
	6,298,454	10/02/01	Schleiss et al.	714	37	
	6,317,701	11/13/01	Pyötsiä et al.	702	RE	
	6,332,110	12/18/01	Wolfe	702	22	

RECEIVED

JUL 25 2002

FOREIGN PATENT DOCUMENTS							Technology Center 2100	
*Examiner Initials	Document Number	Publication Date	Country	Class	Subclass	Translation	Yes	No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)	
	U.S. Patent Application Serial Number 09/499,445 entitled "Diagnostic Expert in a Process Control System", which was filed on February 7, 2000.
	GE Industrial Systems PREDICTOR™ Services information found at <a href="http://www.GEindustrial.com">www.GEindustrial.com</a> . <i>July 2002</i>

Examiner <i>Ch. L. Shi</i>	Date Considered <i>10/28/04</i>
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

5/15/06

SHEET 9 of 11

Form PTO-1449 (Modified)		Atty. Docket No. 30203/38232	Serial No. 10/087,308
INFORMATION DISCLOSURE STATEMENT		Applicant(s) Eryurek et al.	
		Filing Date March 1, 2002	Art Unit 2154

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Document Number	Publication Date	Country	Translation Yes	Translation No
JWC	EP 0 612 039	1994-08-24	Europe	✓	
	EP 0 624 847	1999-08-04	Europe	✓	
	EP 0 644 470	1995-03-22	Europe	✓	
	EP 0 723 4988	1995-05-09	Europe	✓	
	EP 0 827 096	1998-03-04	Europe	✓	
	EP 0 959 398	1999-11-24	Europe	✓	
	GB 2 083 258	1982-03-17	Great Britain	✓	
	GB 2 294 129	1996-04-17	Great Britain	✓	
	GB 2 294 793	1996-05-08	Great Britain	✓	
	GB 2 380 833	2003-04-16	Great Britain	✓	
	JP 0 624 2192	1994-02-09	Japan	✓	
	WO 98/38585	1998-09-03	WIPO	✓	
	WO 99/13418	1999-03-18	WIPO	✓	
	WO 00/50851	2000-08-31	WIPO	✓	
	WO 02/095633	2002-11-28	WIPO	✓	
	WO 02/23405	2002-03-21	WIPO	✓	
JWC	WO 03/075206	2009-09-12	WIPO	✓	

## OTHER DOCUMENTS

JWC	"Components of GE PREDICTOR™ Services," GE Industrial Systems, available at <a href="http://www.geindustrial.com/cwc/services?id=74">http://www.geindustrial.com/cwc/services?id=74</a> on 07/18/02
JWC	"Customer Benefits," GE Industrial Systems, available at <a href="http://www.geindustrial.com/cwc/services?id=75">http://www.geindustrial.com/cwc/services?id=75</a> on 07/18/02
JWC	"Customer Impact," GE Industrial Systems, available at <a href="http://www.geindustrial.com/cwc/services?id=73">http://www.geindustrial.com/cwc/services?id=73</a> on 07/18/02
JWC	dotnet.za.net, "Web Services - A Guide for Business and IT Managers (2001 September 23)", (Copyright 2001), <a href="http://www.dotnet.za.net">www.dotnet.za.net</a> .

EXAMINER: /Jungwon Chang/	DATE CONSIDERED: 09/22/2006
---------------------------	-----------------------------

Form PTO-1449 (Modified)	Atty. Docket No. 30203/38232	Serial No. 10/087,308
INFORMATION DISCLOSURE STATEMENT		
Applicant(s) Eryurek et al.		
Filing Date March 1, 2002		Art Unit 2154

JWC	"Electrical Equipment Coverage," GE Industrial Systems, available at <a href="http://www.geindustrial.com/cwc/services?id=72">http://www.geindustrial.com/cwc/services?id=72</a> on 07/18/02
JWC	"GE PREDICTOR™ Services: GE PREDICTOR Services Overview," GE Industrial Systems, available at <a href="http://www.geindustrial.com/cwc/services?id=71">http://www.geindustrial.com/cwc/services?id=71</a> on 07/18/02
JWC	"Status Signals of Field Instruments," NAMUR Recommendation, NA 64, Feb. 1, 2001, pp. 1-8.
	European Examination Report, EP 02723319.6, dated July 1, 2004
	European Examination Report, EP 02717514.0, dated June 29, 2004
	Examination Report under Section 18(3) issued in GB 0308687.9 by the United Kingdom Patent Office on March 11, 2005
	First Office Action issued in Chinese Application No. 02805854.2 on November 11, 2005
	First Office Action issued in Chinese Application No. 02122060.3 on February 4, 2005
	First Office Action issued in Chinese Application No. 02805785.6 on November 11, 2005
	Great Britain Examination Report under Section 18(3) issued December 17, 2003 in Application No. GB 0126490.2
	Great Britain Examination Report under Section 18(3) issued on September 16, 2004 in Application No. GB 0212610.0
	Great Britain Search Report under Section 17 performed on December 11, 2002 in Application No. GB 0212610.0
	International Search Report for PCT/US04/004939, mailed September 29, 2004
	International Search Report of PCT/US02/05874, mailed November 13, 2002.
	International Search Report for PCT/US02/15901, mailed June 17, 2003
	International Search Report for PCT/US02/16033 mailed June 17, 2003
	International Preliminary Examination Report of PCT/US02/05874, mailed February 3, 2003
	International Preliminary Examination Report for PCT/US02/15901, mailed September 10, 2003
	International Preliminary Examination Report for PCT/US02/16033 mailed September 16, 2003
	Lighthammer Illuminator™ Manufacturing Intelligence Brochure "Now you can see all your manufacturing information from a single interface - in real-time, from anywhere." <i>No publish date available.</i>
	Lighthammer Software Development, "Illuminator™ The 1 <sup>st</sup> 'Plant Information Portal'™" (Copyright 1999).
	MAZAEDA et al., "Supervision and Optimised Process Control in Sugar Beet Factories," Centre of Sugar Technology, Spain from <a href="http://www.univ-reims.fr/externes/avh10mazaeda.pdf">www.univ-reims.fr/externes/avh10mazaeda.pdf</a> <i>No publish date available.</i>
JWC	Search Report under Section 17 issued by Great Britain Patent Office, dated June 11, 2002 in Application No. GB 0126490.2 (UK 2083258)

EXAMINER:	/Jungwon Chang/	DATE CONSIDERED:	09/22/2006
-----------	-----------------	------------------	------------

Form PTO-1449 (Modified)	Atty. Docket No. 30203/38232	Serial No. 10/087,308
INFORMATION DISCLOSURE STATEMENT		
	Applicant(s) Eryurek et al.	
	Filing Date March 1, 2002	Art Unit 2154

JWC	Search Report under Section 17 issued in GB 0308687.9 by the United Kingdom Patent Office on August 28, 2003
	Search Report under Section 17(5) issued in GB 0416908.2 application by the United Kingdom Patent Office, dated October 13, 2004.
	Systinet, "Introduction to Web Services", (Copyright 2001, 2002), <a href="http://www.systinet.com">www.systinet.com</a> .
	U.S. Patent Application Serial No. 60/273,164, filed March 1, 2001, "Asset Utilization Expert in a Process Control Plant."
	Web Services Architect, "What are Web Services", <a href="http://www.webservicesarchitect.com">www.webservicesarchitect.com</a> . <i>May 2nd</i>
	Written Opinion for PCT/US04/004939, mailed September 29, 2004
	Written Opinion of PCT/US02/05874, mailed November 13, 2002
	Written Opinion for PCT/US02/15901, mailed July 16, 2003.
	Written Opinion for PCT/US02/16033, mailed July 21, 2003.
JWC	Zolera Systems, "A Brief Guide to Web Services Protocols" (Copyright 2001), <a href="http://www.zolera.com">www.zolera.com</a> .